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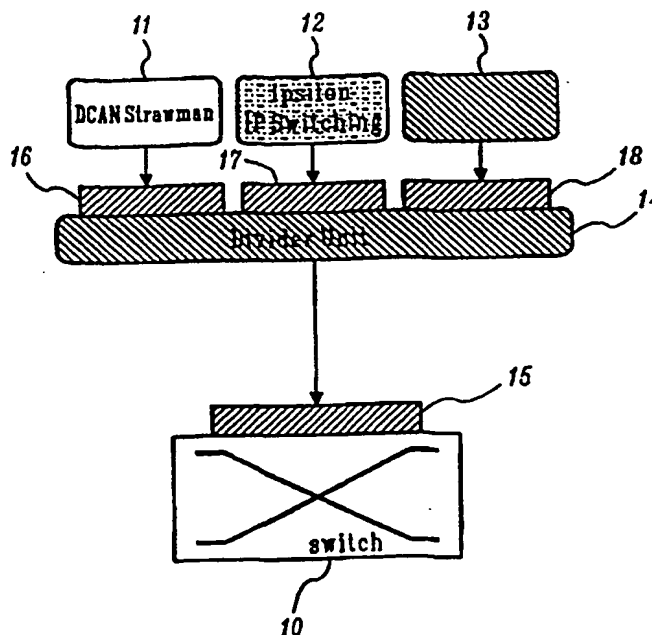
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(21) International Application Number: PCT/GB97/02802 (22) International Filing Date: 10 October 1997 (10.10.97) (30) Priority Data: 9621248.5 11 October 1996 (11.10.96) GB (71) Applicants (for all designated States except US): CAMBRIDGE UNIVERSITY TECHNICAL SERVICES LIMITED [GB/GB]; 20 Trumpington Street, Cambridge CB2 1QA (GB). CSIR [ZA/ZA]; Meiring Naude Street, Scientia, Brummeria, Pretoria 1084 (ZA). (72) Inventors; and (75) Inventors/Applicants (for US only): LESLIE, Ian, Malcolm [GB/GB]; 100 Commercial End, Swaffham Bulbeck, Cambridge CB5 0NE (GB). VAN DER MERWE, Jacobus, Erasmus [ZA/GB]; 36 Northampton Street, Cambridge CB3 0AD (GB). (74) Agents: CALDERBANK, T., Roger et al.; 23 Kingsway, Mewburn Ellis, York House, London WC2B 6HP (GB).		(81) Designated States: AL, AM, AT, AU, AZ, BA, BB, BG, BR, BY, CA, CH, CN, CU, CZ, DE, DK, EE, ES, FI, GB, GE, GH, HU, ID, IL, IS, JP, KE, KG, KP, KR, KZ, LC, LK, LR, LS, LT, LU, LV, MD, MG, MK, MN, MW, MX, NO, NZ, PL, PT, RO, RU, SD, SE, SG, SI, SK, SL, TJ, TM, TR, TT, UA, UG, US, UZ, VN, YU, ZW, ARIPO patent (GH, KE, LS, MW, SD, SZ, UG, ZW), Eurasian patent (AM, AZ, BY, KG, KZ, MD, RU, TJ, TM), European patent (AT, BE, CH, DE, DK, ES, FI, FR, GB, GR, IE, IT, LU, MC, NL, PT, SE), OAPI patent (BF, BJ, CF, CG, CI, CM, GA, GN, ML, MR, NE, SN, TD, TG).  Published With international search report.	

(54) Title: SWITCHING SYSTEM

(57) Abstract

A switch (10) for controlling the switching of communication paths in communication equipment, such as telephone networks, is connected to controllers (11, 12, 13) via a divider unit (14). The divider unit (14) divides some or all of the resources of the switch (10) into a plurality of switch resource sets, and those switch resource sets are then associated with respective ones of the controllers (11, 12, 13). This has the effect of enabling each switch resource set to act as an independent sub-switch, with each controller (11, 12, 13) then controlling a corresponding sub-switch. This division of the resources of the switch (10) allows different control architectures to be operated simultaneously. This permits different virtual networks to be constituted on the same physical network. Any one of the controllers (11, 12, 13) has access only to the switch resource set or sets allocated to that controller (11, 12, 13). The controllers (11, 12, 13) are connected to the divider unit (14) by corresponding divider control interfaces (15, 17, 18) and the divider unit (14) is connected to the switch (10) by a switch control interface (15).



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## SWITCHING SYSTEM

### BACKGROUND OF THE INVENTION

The present invention relates to a system for controlling the switching of communication paths in communication equipment. The present invention is particularly advantageous for use in connection orientated communication networks, such as telephone networks, or asynchronous transfer mode (ATM) networks. However, the present invention may also find application in other switching situations such as the controlling of data paths in connectionless computer networks.

### SUMMARY OF THE PRIOR ART

Connection orientated networks carry two distinct types of information. These are generally known as the control path and the data path, but the terms control plane and user plane are also sometimes used. In a telephone network as an example of a connection orientated network, the control path is responsible for the establishment and clearing of calls (also referred to as a signalling), fault reporting, for billing, and for the control of special features such as call forwarding and the use of special numbers. The data path is the speech, facsimile, or other information conveyed by the call. Connection orientated communications networks, such as telephone or ATM networks, need to provide a clear distinction between these types of communication. A set of protocols, interfaces and procedures are defined by the creator of the network, and are used by the

network to implement all control functions of the network, including signalling and network management. That set will be referred to herein as the control architecture of the network.

5       At various locations around the network there are physical switches which permit information in the data path of the network to be routed appropriately. The control architecture then controls the operation of those switches to achieve the desired operations. In many  
10 networks, the control architecture is implemented directly on the physical switches of the network. However, schemes for separating the control function of the control architecture from the physical switches have been proposed. Such arrangements require an interface  
15 between the control unit which carries out the control functions and the physical switch. Such an interface can be private or public; a private interface is defined entirely by the creator of the switch, whereas a public interface allows the user of the network to purchase  
20 physical switches and then apply their own control architecture when building the network.

In general, each switch carries out switching between multiple input/output ports. Those ports are normally bi-directional, so that the port which acts as  
25 an input for one item of information at a particular time may act as an output at a different time.

With such switch, it is possible to define the "resources" of the switch. Those resources include the

logical address space of each port. In an ATM network, examples of such logical address space includes virtual channel address space and virtual path address space. In addition, the resources may include the transmission  
5 capacities of each port, the buffers within the switch, and control operations known in ATM as traffic shapers and traffic policers.

In the known arrangements, each physical switch has a single controller which controls the actions of the  
10 switch. Thus, the switch can operate only on the basis of one control architecture. Furthermore, since only a single control architecture is operational, this control architecture is normally general purpose in nature, because it has to cater for the requirements of any  
15 application or set of applications.

#### SUMMARY OF THE INVENTION

The present invention proposes that a plurality of controllers are connectable to each physical switch. Their connections are via a divider unit which divides  
20 some or all of the switch resources into a plurality of switch resource sets. Each switch resource set may then act as an independent sub-switch or "switchlet". This allows different control architectures to be operational simultaneously. These different operational control  
25 architectures and the associated switch resource set then constitute different virtual networks on the same physical network. A development of the present invention then permits the use of service specific control

architectures on some of these virtual networks.

As mentioned above, the present invention allows different control architectures to be operational simultaneously. Of course, the handling of different  
5 items of information by the switch is necessarily time-divided, but the control architecture, being the set of protocols, interfaces and procedures referred to above, can be considered a relatively long-term effect and thus multiple protocols may be considered to be present  
10 simultaneously even when the timing of the routing of individual items of information is time-divided.

Note that the present invention is not limited to switches involving bi-directional ports mentioned previously. Moreover, it is possible for a switch to  
15 have only one input or output port at any particular time.

It should be noted that many physical switches have a processor in which the divider unit may be implemented. Thus, although the switch and the divider unit may be  
20 considered functionally separate, they may be physically integrated.

As mentioned above, the present invention divides some or all of the switch resources into switch resource sets. In practice, in order for the present invention to  
25 operate satisfactorily, it will normally be necessary to divide at least the logical address space of the ports, but any or all of the other factors which determine the switch resources may also be divided.

The division of the switch can be done statically whereby the divider unit is statically configured to divide the switch between a predetermined number of controllers, each potentially presenting a different  
5 control architecture. However, this is not essential and it is possible for the controller to signal to the divider unit that the controller requires to control part of the switch. The divider unit can then dynamically reconfigure the physical switch division to accommodate  
10 the requesting controller.

With the present invention, any particular controller has only access to the switch resource set or sets allocated to them. Thus, the present invention includes the possibility that there is only a single  
15 controller connected via the divider unit to the switch. The arrangement would then have the advantage that additional controllers could subsequently be connected to that switch by appropriate re-configuration of the divider unit. Thus at any moment in time, several  
20 controllers will be logically in control of the same physical switch, however their control actions will be restricted to a set of the switch resources which is a sub-set of the full resources of the switch.

The present invention thus permits a switch to  
25 operate according to different control architectures at the same time. The control architectures used by each controller may be any of the known ones, but the present invention also permits new control architecture to be

added to the network merely by connection of a controller operating according to that control architecture. This permits not only the ability to run a plurality of control architectures on the same network, but also the  
5 ability to change from one control architecture to another rapidly. It thus provides a useful way of testing experimental control architectures without having to configure the network entirely to that experimental architecture. The network may run according to known and  
10 established control architectures on a subset of network resources with the experimental architecture operating on a different subset of the resources.

A further possibility is that the controllers implement the same control architecture. The effect of  
15 the partitioning of the switch resources by the divider unit is then to divide the network into a plurality of virtual networks with the same control architecture.

A further possibility is to have service specific control architectures, which are built to satisfy the  
20 requirements of a particular application or set of applications. By utilising knowledge of the applications it serves, such a service specific control architecture can be more efficient than a generic control architecture, and can also make better use of potentially  
25 scarce network resources. One example of a service specific control architecture would be one that provides services to a video conferencing application. In this case knowledge of the pattern of participation and where



participants are located can be used to minimise the bandwidth required from the network.

#### BRIEF DESCRIPTION OF THE DRAWINGS

Embodiments of the present invention will now be described in detail, by way of example, with reference to the accompanying drawing, in which: Fig. 1 is a schematic view of a switching arrangement embodying the principles of the present invention.

#### DETAILED DESCRIPTION

10 The basic principles of operation of an embodiment of the present invention will first be discussed with reference to Fig.1. In Fig.1, a switch 10 controls the routing of information in the data path of a network (not shown) to which the switch 10 is connected at some  
15 suitable point. The switch 10 is connected to a plurality of controllers 11, 12, 13 via a divider unit 14. The divider unit 14 allocates the resources of switch 10 among the controllers 11, 12, 13 according to suitable division rules determined by the divider unit  
20 14. Thus at any moment in time, any of the controllers 11, 12 and 13 can invoke control operations on the switch to influence the way data path information will be routed. These invocations are made through divider control interfaces 16, 17 and 18 exported by the divider  
25 unit 14. In this way all such invocations will be intercepted by the divider unit 14, which will ensure that the invocation will only influence the set of switch resources allocated to the controller making the

invocation, before passing the request on to the switch. The request is passed to the switch by means of a switch control interface 15 which is exported by the switch 10. If the divider unit 14 finds that the request by the  
5 controller relates to resources not allocated to it, the request will fail and will not be passed on to the switch. Thus at any particular moment in time, some data path information will be routed according to control from controller 11, others according to control from  
10 controller 12, and yet others according to control from controller 13. Within the switch 10 these different sets of data path information will likewise use resources allocated to the different controllers 11, 12, 13 by the divider unit 14.

15       The controllers 11, 12, 13, the divider control interfaces 16, 17 and 18, the divider unit 14 and the switch control interface 15 are logical structures, and the physical components needed to perform those structures may be any suitable hardware or software. For  
20 example, the controllers 11, 12, 13 and the divider unit 14 may be part of a common workstation, which is connected to the switch 10 via a physical connection (not shown). By means of this physical connection, the switch control interface 15, which is a control function of the  
25 switch 10, is accessed. It would also be possible for the controllers 11, 12, 13, the divider control interfaces 16, 17, 18, the divider unit 14 and the interface unit 15 to be an integral part of the switch 10. Any other

combination between these two extremes is also possible.

- A specific embodiment of the arrangement shown in Fig.1 will now be described in more detail. In that embodiment, a Fore System ASX-100 switch, is connected to
- 5 several HP-700 series workstations equipped with Fore Systems EISA-200 ATM adapters. The ASX-100 switch is used in this embodiment because low level information of the switch is available which enables the implementation of the present invention without difficulty. The
- 10 distributed processing environment (DPE) used in this embodiment is an implementation of the distributed interactive multi-media architecture (DIMMA) disclosed by Guangxing Li, in "DIMMA Nucleus Design", Tech. Rep. APM. 1551.OO.05, APM Limited, Castle Park, Cambridge, UK 1995.
- 15 The DIMMA architecture is an object request broker (ORB) framework, which provides a common base for the construction of domain specific brokers. The DIMMA architecture allows several protocol stacks to be operational.
- 20 The divider control interfaces 16, 17, 18, and the switch control interface 15 provide the same functionality, and are thus logically equivalent. The implementation of these interfaces may however be different.
- 25 Requests from the controllers 11,12,13 passing through the controller interfaces 16, 17, 18 are monitored by the divider unit 14 to ensure that requests relates only to resources allocated to the specific

controller which issued the request. The requests are passed to the switch control interface 15 only if this is true.

Two possible divider controller interface 16, 17, 18  
5 arrangements are:

- i) A DIMMA based implementation, which provides several service interfaces with methods to enable switch control, and relies on the distributed processing environment (DPE) to sort out the format of messages.
- 10 ii) A server side implementation of the Generic Switch Management Protocol (GSMP) from Ipsilon described in more detail by P. Newman, W Edwards, R.Hunden, E. Hoffman, F. Ching Liaw, T Lyon and G. Minshall, in "Ipsilon's General Switch Management Protocol  
15 Specification Version 1.1", Internet RFC1987, 1996. This is a message passing protocol with well defined message formats.

Example implementations of the switch control interface  
15 includes:

- 20 1. An arrangement using Simple Network Management Protocol (SNMP), to communicate with an SNMP server running on the switch 10 to perform control operations. SNMP is described in more detail by J. Case, M. Fedor, M. Schoffstall and J. Davin in "A Simple  
25 Network Management Protocol (SNMP)", Internet RFC1157. This approach has the advantage that switches often provide an SNMP server which means that no special server needs to be operational on the switch

to enable use of the invention,  
and it therefore allows all switches with SNMP  
capabilities to be controlled. This implementation is not  
preferred, since it is believed to be slow.

5        2. A message passing protocol  
known as "LIGHT" which is similar in nature and  
functionality to Generic Switch Management Protocol  
(GSMP).

3. An implementation of the server side of GSMP  
10 on the switch 10. Communication is via a permanent  
virtual circuit (PVC).

4. A DIMMA based implementation which provides an  
interface identical to the DIMMA divider controller  
interface mentioned above.

15        5. It is also possible in some cases, for example  
with the ASX-100 switch, to implement the divider unit  
directly on the switch. In such an arrangement the  
switch control interface 15, is not exported, and instead  
the switch directly exports divider control interfaces  
20 16, 17 and 18.

All the arrangements discussed above are equivalent  
in terms of functionality. Use of them, in this  
embodiment, permitted comparisons to be made as will be  
discussed below.

25        A simple test was performed to compare the different  
implementations discussed above. In all cases the  
relevant server was running on the ASX-100 switch, while  
the standalone version of the controller was running on

an HP 9000/725 workstation running HPUX version A.09.05, which was connected to the switch 10 by means of a Fore Systems EISA-200 ATM adapter.

The test involved the controller requesting the  
5 necessary configuration from the server, followed by 1000  
timed invocations. Each pair of timed invocations  
involved creating and deleting a Virtual Circuit (VC) in  
the switch. Since all servers on the switch shared the  
same library interfacing with the low level switch  
10 hardware, this test is essentially an evaluation of the  
efficiency of the communication channel used, as well as  
the efficiency of different server implementations.

For comparison purposes the time taken for a null  
DIMMA remote procedure call (RPC) between the same two  
15 platforms was measured to be 3.8ms. The results for the  
arrangements are as follows (note that these results are  
for two invocations rather than one as in the case of  
null RPC).

LIGHT: 4.5ms per invocation pair  
20 GSMP: 8.9ms per invocation pair

Use of DIMMA server implemented on the switch 10  
8.3ms per invocation pair.

Implementing the embodiment of the divider unit on  
the switch 10 itself 8.3ms per invocation pair.

25 A number of tests were performed on the embodiment  
to evaluate the effect of the insertion of the divider  
unit 14 in the control path. Again, the average time for  
a 1000 VC create and delete pairs was measured.

In one test, the divider unit 14 was implemented on the physical switch, with the controller being in an HP workstation directly connected to the switch 10. The average time for an invocation pair was 8.3ms.

5 In another arrangement, the divider unit 14 was incorporated into the workstation with a LIGHT arrangement on the switch. The average for an invocation pair was 10.4ms.

This test was then repeated, but with a controller  
10 running on a different HP workstation next to the same switch. The average for an invocation pair was found to be 10.1ms.

Finally, the test was repeated but with the divider unit 14 exporting a GSMP interface with a GSMP controller  
15 on the second workstation. The average time for an invocation pair was 7.7ms.

These tests establish that the separation of the control functions carried out by the controllers 11, 12, 13 and the switch 10 by the divider until 14 is not  
20 expensive, and does not present high overheads in the control path to the switch.

They also establish that the present invention is achievable using conventional control architectures, although, as mentioned above, the present invention  
25 permits any controller, and corresponding control architecture, to be connected to the switch 10 via the divider unit 14.

Yours faithfully,

We therefore would be grateful to receive your confirmation concerning the above points as soon as possible and in any event in good time before the deadline of 10 June 2001.

SP377517.doc

Lloyd Wise, Singapore  
Jim Greene, London

CLAIMS:

1. A switch apparatus for controlling the switching of communication paths in communications equipment, comprising:
  - 5 a switch having a plurality of input/output ports;
  - a divider unit operatively connected to said switch;
  - and
  - a plurality of controllers connectable to said switch via said divider unit;
- 10 said divider unit being arranged to divide some or all of the resources of said switch into a plurality of switch resource sets, and associating at least some of said switch resource sets with respective said controllers.
- 15 2. A switch apparatus according to claim 1, wherein said divider is arranged to divide the logical address space of at least some of said input/output ports of said switch in said dividing of said some or all of said resources of said switch.
- 20 3. A switch apparatus according to claim 1 or claim 2, wherein each of said plurality of switch resource sets has an associated control architecture, and each of said control architectures is different from others of said plurality of control architectures.



4. A switch apparatus according to claim 1 or claim 2, wherein each of said plurality of switch resource sets has an associated control architecture, and all of said control architectures are the same.

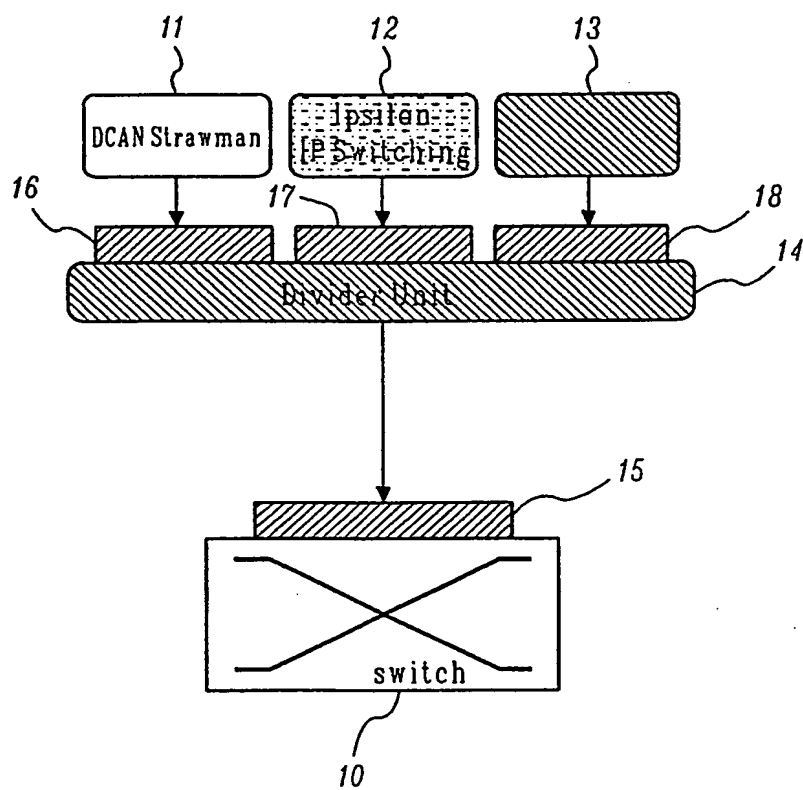
- 5 5. A communication network including a plurality of switch apparatuses, each switch apparatus being for controlling the switching paths at a point in said network, and each switching apparatus comprising:
- a switch having a plurality of input/output ports;
  - 10 a divider unit operatively connected to said switch; and
  - a plurality of controllers connectable to said switch via said divider unit;
- said divider unit being arranged to divide some or
- 15 all of the resources of said switch into a plurality of switch resource sets, and associating at least some of said switch resource sets with respective said controllers.

6. A method of controlling the switching of
- 20 communication paths in communication equipment using a switching apparatus, the switching apparatus comprising a switch having a plurality of input/output ports;
- a divider unit operatively connected to said switch;
  - and a plurality of controllers connectable to said switch
- 25 via said divider unit;
- the method comprising dividing some or all of the

resources of said switch into a plurality of switch resource sets, and associating at least some of said switch resource sets with respective ones of said controllers thereby to control the switching of

5 communication paths on the basis of said at least some of said switch resource sets associated with said respective said controllers.

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*Fig. 1*

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## INTERNATIONAL SEARCH REPORT

International Application No.

PCT/GB 97/02802

**A. CLASSIFICATION OF SUBJECT MATTER**  
 IPC 6 H04L12/56 H04Q11/04

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Minimum documentation searched (classification system followed by classification symbols)

IPC 6 H04L H04Q

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched

Electronic data base consulted during the international search (name of data base and, where practical, search terms used)

**C. DOCUMENTS CONSIDERED TO BE RELEVANT**

Category *	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
X	<p>AKIKO SENOH ET AL: "MULTIPROCESSOR ARCHITECTURE FOR LARGE-CAPACITY ATM SWITCHING SYSTEM"            ISS '95. WORLD TELECOMMUNICATIONS CONGRESS. (INTERNATIONAL SWITCHING SYMPOSIUM), ADVANCED SWITCHING TECHNOLOGIES FOR UNIVERSAL TELECOMMUNICATIONS AT THE BEGINNING OF THE 21ST. CENTURY BERLIN, APR. 23 - 28, 1995, vol. 1, 23 April 1995, VERBAND DEUTSCHER ELEKTROTECHNIKER (VDE) ET AL, pages 263-267, XP000495576            see sections 3 and 5</p> <p style="text-align: center;">--- -/-</p>	1-6

☒ Further documents are listed in the continuation of box C.

☒ Patent family members are listed in annex.

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Date of the actual completion of the international search

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## C.(Continuation) DOCUMENTS CONSIDERED TO BE RELEVANT

Category *	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
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X	ALPNA JAIN ET AL: "AN EVOLVABLE ATM-BASED VIDEO NETWORK DESIGN SUPPORTING MULTIPLE ACCESS NETWORK TECHNOLOGIES" IEEE COMMUNICATIONS MAGAZINE, vol. 33, no. 11, 1 November 1995, pages 58-63, XP000545286 see page 59, right-hand column, paragraph 3-- page 60, right-hand column, paragraph 2 ---	1-6
A	EP 0 449 095 A (FUJITSU LTD) 2 October 1991 see column 6, line 6 - column 7, line 23 ---	1-6
A	SHABANA M ET AL: "INTELLIGENT SWITCH ARCHITECTURE" PROCEEDINGS OF THE NATIONAL COMMUNICATIONS FORUM, vol. 42, no. 2, 30 September 1988, pages 1312-1320, XP000053909 see the whole document -----	1-6

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Information on patent family members

International Application No

PCT/GB 97/02802

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